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UNITED STATES DISTRICT COURT EASTERN DISTRICT OF WASHINGTON

CINDY LEE TULLY, Plaintiff, v. CAROLYN W. COLVIN, Commissioner of Social Security, 1

Defendant.

No. CV-11-00396-CI

ORDER GRANTING PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT AND REMANDING FOR IMMEDIATE AWARD OF BENEFITS

BEFORE THE COURT are cross-Motions for Summary Judgment. No. 13, 19. Attorney Kenneth Isserlis represents Cindy Lee Tully (Plaintiff); Special Assistant United States Michael S. Howard represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF After reviewing the administrative record and briefs filed by the parties, the court GRANTS Plaintiff's Motion for Summary Judgment and **REMANDS** for an award of immediate benefits.

JURISDICTION

On March 17, 2008, Plaintiff protectively filed an application for supplemental security income insurance benefits, alleging disability beginning May 5, 2006. Tr. 35; 411. In her application

¹Carolyn W. Colvin became the Acting Commissioner of Social Security on February 14, 2013. Pursuant to FED. R. CIV. P. 25(d), Carolyn W. Colvin is substituted for Michael J. Astrue as the Defendant in this suit. No further action need be taken to continue this suit. 42 U.S.C. § 405(g).

for benefits, Plaintiff reported that she stopped working due to sleep and connective tissue disorders, Sjorgren's Disease, Fibromyalgia, Reynalds Disease, depression and Carpel Tunnel Syndrome. Tr. 414.

Plaintiff's case has a complicated procedural history. Her claim was initially denied, and denied again on reconsideration. Tr. 213-16; 221-22. Plaintiff requested an administrative hearing. Tr. 223-24.

On November 17, 2009, ALJ Paul Hebda conducted a hearing. 121. Judge Hebda issued a partially favorable decision on December 31, 2009. Tr. 178-97. The decision found Plaintiff was disabled, but ruled her disability began on November 10, 2008, instead of May 5, 2006, as Plaintiff alleged. Tr. 183-97. ALJ Hebda found Plaintiff's Residual Functional Capacity ("RFC") included light work prior to November 10, 2008, but after that date, Plaintiff had the additional limitations: "a low stress job with a sit/stand option at one hour intervals; only occasional bilateral fine manipulations; only an occasional change in the claimant's work setting; and, only an occasional interaction with co-workers with no tandem tasks." Tr. 192. The Vocational Expert testified that the added limitations meant that after November 10, 2008, Plaintiff could not perform her past relevant work and no jobs in significant numbers existed in the national economy that Plaintiff could perform. Tr. 196. Plaintiff sought review of ALJ Hebda's determination of her disability onset date with the Appeals Council.² Tr. 262-306.

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²Plaintiff stated in her request for review, "Please note Ms. Tully is not appealing and does not seek review of the any kind of

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The Appeals Council granted the request for review, vacated the decision and remanded for an additional hearing. Appeals Council concluded that the vocational expert's testimony was Dictionary inconsistent with the of Occupational Titles, specifically whether Plaintiff could perform the job of surveillance system monitor. Tr. 205. The Council also found no substantial evidence supported the portion of the RFC that limited Plaintiff to occasional bilateral fine manipulation. Tr. 205-06. As a result, the Council remanded with instructions to the ALJ to update the treatment evidence related to Plaintiff's "medical condition," obtain evidence from a medical expert to clarify the nature and severity of Plaintiff's impairments, reconsider Plaintiff's RFC based upon the new evidence, consider if Plaintiff could perform past relevant work, and obtain supplemental evidence from a vocational expert. Tr. 206.

The second administrative hearing was held on December 21, 2010, in front of ALJ Caroline Siderius. Tr. 67. At that hearing, ALJ Siderius noted the Appeals Council vacated the first opinion, and the ALJ declared the administrative hearing was a de novo hearing. Tr. 74. During the hearing, the testifying witnesses were medical expert Daniel Weisman, M.D., vocational expert Thomas Polsin, Plaintiff's husband Sean Tully, and Plaintiff, who was represented by counsel. Tr. 67-46. On January 14, 2011, ALJ Siderius found Plaintiff was not disabled and denied benefits. Tr. 35-49. Plaintiff sought review with the Appeals Council and was denied. Tr. 19-21. Plaintiff moved to re-open the Appeals Council

the favorable portion of this Decision." Tr. 260.

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decision and the Council denied that motion. Tr. 1; 467-540. The instant matter is before this court pursuant to 42 U.S.C. § 405(g).

STATEMENT OF THE CASE

The facts of the case are set forth in detail in the transcript of proceedings and are briefly summarized here. At the time of the second administrative hearing, Plaintiff was 46 years old, and living in a house with her husband. Tr. 89; 91. Plaintiff worked as a dental hygienist beginning in 1990. Tr. 391.

In 1995, Plaintiff was involved in a car accident, and some time after that she began experiencing significant pain and fatigue. Tr. 689. She testified that she had to nap at lunch breaks and at the end of each workday. Tr. 89. Plaintiff reported she was depressed, and she cried everyday. Tr. 91. Plaintiff's employer attempted to accommodate her needs by giving her longer lunch breaks, a day off between workdays, and a special chair, but eventually, in 2006, she could no longer tolerate work. Tr. 92-93. The day after Plaintiff worked, she was in bed most of the day, recovering. Tr. 93. Plaintiff testified that pain and fatigue prevent her from working, and at times, maintaining housework and getting dressed are overwhelming tasks. Tr. 95-96. Plaintiff testified that in the mornings, she has to wait for her pain medication to take effect before she can begin housework, but she has to work "in waves," and she rests in between tasks.

Plaintiff also testified that currently, her hands swell, go numb, burn, become painful, and her fingers split on the tips. Tr. 101. Plaintiff estimated about three times per month she has headaches that awaken her, and that are affected by light and noise. Tr. 102. She said her eyesight blurs within 15 to 20 minutes after

she starts reading. Tr. 101-02.

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Plaintiff testified that her daily activities are minimal. She spends five to six hours per day resting or napping. Tr. 100. Plaintiff's husband stated that his wife used to run three miles three days per week, bike twice per week, ski, scuba dive and travel internationally and locally to visit her parents in Wenatchee. Tr. 18. He stated that now Plaintiff is in constant pain and cannot stand, sit or walk for any length of time, and while some days are better than others, none are without pain. Tr. 18.

ADMINISTRATIVE DECISION

At step one, ALJ Siderius found Plaintiff had not engaged in substantial gainful activity since May 5, 2006, the application date. Tr. 37. At step two, she found Plaintiff had the following severe impairments: fibromyalgia, Sjogren's Syndrome; Reynaud's Disease and depression. Tr. 37. At step three, the ALJ determined Plaintiff's impairments, alone and in combination, did not meet or medically equal one of the listed impairments in 20 C.F.R., Subpart P, Appendix 1 (20 C.F.R. §§ 416.920(d), 416.925 and 416.926). Tr. 38. The ALJ found Plaintiff has the Residual Functional Capacity ("RFC") to perform sedentary work except that Plaintiff:

[C]ould lift/carry up to 20 pounds occasionally and 10 pounds frequently. She is able to stand/walk for 2 hours per 8-hour workday and she can sit for 6 hours per 8-hour workday. She would need to change positions approximately once per hour. She could occasionally climb stairs and ramps. She would be unable to climb ladders, ropes and scaffolds. She could occasionally stoop, kneel and crawl. She could not be exposed to unprotected heights or machinery. She would need to be in a low stress job with no more than normal production requirements. She can have occasional changes in the work setting. She can have occasional contact with the general public.

Tr. 39.

In her step four findings, the ALJ found Plaintiff's statements regarding pain and limitations were not credible to the extent they were inconsistent with the RFC findings. Tr. 40. The ALJ found that Plaintiff could not perform her past relevant work, but after considering Plaintiff's age, education, work experience, and residual functional capacity, jobs exist in significant numbers in the national economy that the Plaintiff can perform, such as small parts assembler, photo copy machine operator, and courier. Tr. 37; 48.

STANDARD OF REVIEW

In $Edlund\ v.\ Massanari$, 253 F.3d 1152, 1156 (9th Cir. 2001), the court set out the standard of review:

A district court's order upholding the Commissioner's denial of benefits is reviewed de novo. Harman v. Apfel, 211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the Commissioner may be reversed only if it is not supported by substantial evidence or if it is based on legal error. Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is defined as being more than a mere scintilla, but less than a preponderance. Id. at 1098. Put another way, substantial evidence is such relevant evidence as a reasonable mind might accept as adequate to support a conclusion. Richardson v. Perales, 402 U.S. 389, 401 (1971). If the evidence is susceptible to more than one rational interpretation, the court may not substitute its judgment for that of the Commissioner. Tackett, 180 F.3d at 1097; Morgan v. Commissioner of Social Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999).

The ALJ is responsible for determining credibility, resolving conflicts in medical testimony, and resolving ambiguities. Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed de novo, although deference is owed to a reasonable construction of the applicable statutes. McNatt v. Apfel, 201 F.3d 1084, 1087 (9th Cir. 2000).

It is the role of the trier of fact, not this court, to resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence supports more than one rational interpretation, the court may not

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substitute its judgment for that of the Commissioner. *Tackett*, 180 F.3d at 1097; Allen v. Heckler, 749 F.2d 577, 579 (9th Cir. 1984). Nevertheless, a decision supported by substantial evidence will still be set aside if the proper legal standards were not applied in weighing the evidence and making the decision. Brawner v. Secretary of Health and Human Services, 839 F.2d 432, 433 (9th Cir. 1988). If substantial evidence exists to support the administrative findings, or if conflicting evidence exists that will support a finding of either disability or non-disability, the Commissioner's determination is conclusive. Sprague v. Bowen, 812 F.2d 1226, 1229-1230 (9th Cir. 1987).

SEQUENTIAL PROCESS

Commissioner has established a five-step sequential evaluation process for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a), 416.920(a); see Bowen v. Yuckert, 482 U.S. 137, 140-42 (1987). In steps one through four, the burden of proof rests upon the claimant to establish a prima facie case of entitlement to disability benefits. Tackett, 180 F.3d at 1098-99. This burden is met once a claimant establishes that a physical or mental impairment prevents him from engaging in his previous 20 C.F.R. §§ 404.1520(a)(4), 416.920(a)(4). occupation. If a claimant cannot do his past relevant work, the ALJ proceeds to step five, and the burden shifts to the Commissioner to show that (1) the claimant can make an adjustment to other work; and (2) specific jobs exist in the national economy which claimant can perform. Batson v. Commissioner of Social Sec. Admin., 359 F.3d 1190, 1193-94 (2004). If a claimant cannot make an adjustment to other work in the national economy, a finding of "disabled" is made. 20 C.F.R. §§

404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

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Plaintiff contends the ALJ erred by discrediting Plaintiff's testimony, and in improperly evaluating the medical opinions. ECF No. 14 at 19-28. The Defendant argues that the court should not consider Plaintiff's new evidence submitted to the Appeals Council because Plaintiff failed to establish "good cause." ECF No. 20 at 12.

ISSUES

A. New Evidence Submitted to the Appeals Council

Defendant contends that this court "should decline to remand on the basis of Plaintiff's new evidence, submitted to the Appeals Council, after the ALJ's decision." ECF No. 20 at 12. Defendant argues that the Plaintiff has failed to show "good cause" for the late submission, and that even if good cause exists, the evidence is not likely to change the outcome of the administrative hearing. ECF No. 20 at 12-19.

When the Appeals Council considers new evidence in deciding whether to review a decision of the ALJ, that evidence becomes part of the administrative record, which the district court must consider when reviewing the Commissioner's final decision for substantial evidence. Brewes v. Comm'r Of SSA, 682 F.3d 1157, 1162 (9th Cir. 2012); see Tackett, 180 F.3d at 1097-98 (holding that a court reviewing the Commissioner's decision must consider the record as a whole). Claimants need not show "good cause" before submitting new evidence to the Appeals Council. Brewes, 682 F.3d at 1162; see also O'Dell v. Shalala, 44 F.3d 855, 858 (10th Cir. 1994). As a result, Defendant's contention that this court should decline to review the evidence submitted to the Appeals Council fails.

B. Credibility

For the ALJ to reject the claimant's complaints, she must provide "specific, cogent reasons for the disbelief." Rashad v. Sullivan, 903 F.2d 1229, 1231 (9th Cir. 1990), Lester v. Chater, 81 F.3d 821, 834 (9th Cir. 1995). Once the claimant produces medical evidence of an underlying impairment, the Commissioner may not discredit the claimant's testimony as to subjective symptoms merely because they are unsupported by objective evidence. Bunnell, 947 F.2d at 343; see also Cotton v. Bowen, 799 F.2d 1403, 1407 (9th Cir. 1986) ("it is improper as a matter of law to discredit excess pain testimony solely on the ground that it is not fully corroborated by objective medical findings"). Unless affirmative evidence exists establishing that the claimant is malingering, the Commissioner's

³Defendant argues that in determining credibility, the court must employ the standard articulated in *Bunnell v. Sullivan*, 947 F.2d 341, 345-46 (9th Cir. 1991), that merely requires an ALJ's credibility findings are supported by the record, and sufficiently specific to establish the ALJ did not "arbitrarily discredit a claimant's testimony regarding pain." *Bunnell*, 947 F.2d at 345-46. Defendant contends that the subsequent decisions employing the "clear and convincing" standard have no precedential value because they were not *en banc* decisions. ECF No. 20 at 20. The court is not persuaded to disregard the plethora of Ninth Circuit cases that employ the standard articulated in *Lester*. *See*, *e.g.*, *Carmickle v. Comm'r of Soc. Sec. Admin.*, 533 F.3d 1155, 1160 (9th Cir. 2008); *Orn v. Astrue*, 495 F3d 625, 632 (9th Cir. 2007); *Parra v. Astrue*, 481 F.3d 742, 750 (9th Cir. 2007).

reasons for rejecting the claimant's testimony must be "clear and Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. convincing." Moreover, general findings are insufficient; the ALJ must 1989). identify what testimony is not credible and what evidence undermines the claimant's complaints. Dodrill v. Shalala, 12 F.3d 915, 918 (9th Cir. 1993); Varney v. Secretary of Health and Human Services, 846 F.2d 581, 584 (9th Cir. 1988). In the present case, the ALJ factors in determining that relied upon several Plaintiff's testimony about the intensity of her symptoms was not credible, and each factor will be addressed separately.

1. Objective Evidence and Fibromyalgia

While the ALJ cited several factors that she believed undermined Plaintiff's credibility, a common factor in several findings was a lack of objective medical findings to support Plaintiff's symptoms:

"First, any limitations she described at the hearings and in her application could not be objectively verified with any reasonable degree of certainty with objective medical facts or diagnostic testing. Secondly, if she was as limited as alleged, it is difficult to attribute that degree of limitation to Plaintiff's medical condition, as opposed to other reasons in view of the relatively weak objective medical evidence...Third, a claimant's symptom or combination of symptoms alone cannot be the basis for a finding of an impairment without medical signs and/or laboratory findings demonstrating the existence of the physical or mental impairment.

Tr. 40 (emphasis added).

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As noted above, after a claimant produces medical evidence of an underlying impairment, the ALJ may not discredit the claimant's testimony merely because the claimant's allegations of pain and symptoms are unsupported by objective evidence. *Bunnell*, 947 F.2d at 343; *Cotton*, 799 F.2d at 1407 (9th Cir. 1986) ("it is improper as

a matter of law to discredit excess pain testimony solely on the ground that it is not fully corroborated by objective medical findings").

In this case, the ALJ impermissibly discounted Plaintiff's testimony largely because the record lacks of objective evidence, or medical "signs and/or laboratory findings." Tr. 40. This reasoning not only runs afoul of *Bunnell* and its progeny, the reasoning evidences an apparent misunderstanding of the nature of fibromyalgia, and this misunderstanding permeates the opinion.⁴

It is well-established in the Ninth Circuit that the condition of fibromyalgia can be disabling. *Benecke v. Barnhart*, 379 F.3d 587, 589-90 (9th Cir. 2004). In *Benecke*, the Ninth Circuit described fibromyalgia as follows:

Benecke suffers from fibromyalgia, previously called fibrositis, a rheumatic disease that causes inflammation

The ALJ was not alone in her misunderstanding of the nature of fibromyalgia. Daniel Weisman, M.D., a pediatrician (Tr. 339-43), who served as the testifying medical expert at Plaintiff's first

hearing, opined fibromyalgia is not a medical diagnosis:

Fibromyalgia is the problematic diagnosis. I think it was invented in order for Courts to have something to do because it is a set of complaints, without any objective findings, that has been clustered into a thing that looks like a medical diagnosis, but I have always held the contention that it isn't.

Tr. 78. "Well, unfortunately, this disease does not have any objective evidence by definition. And I think I mentioned earlier, so I'll say it again, this disease looks like it was invented in order to confound the process that we're in, in this Court."

Tr. 85.

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of the fibrous connective tissue components of muscles, tendons, ligaments, and other tissue. Common symptoms, all of which Benecke experiences, include chronic pain throughout the body, multiple tender points, fatigue, stiffness, and a pattern of sleep disturbance that can exacerbate the cycle of pain and fatigue associated with this disease. Fibromyalgia's cause is unknown, there is no cure, and it is poorly understood within much of the medical community. The disease is diagnosed entirely on the basis of patients' reports of pain and other symptoms. The American College of Rheumatology issued a set of agreed-upon diagnostic criteria in 1990, but to date there are no laboratory tests to confirm the diagnosis.

Id. (internal citations omitted); see also Harman, 211 F.3d at 1172 (reversing ALJ decision denying benefits for fibromyalgia); Bunnell, 947 F.2d 341 (upholding benefits for fibrositis, now known as fibromyalgia).

The Social Security Administration issued a ruling, effective July 25, 2012, to assist factfinders in the evaluation of fibromyalgia. Social Security Ruling ("SSR") 12-2p. SSR 12-2p provides that once a person is determined to have fibromyalgia her statements about symptoms and functional limitations are to be evaluated according to the two-step process set forth in SSR 96-7p. These policies provide that "[i]f objective medical evidence does not substantiate the person's statements about the intensity, persistence, and functionally limiting effects of symptoms, we consider all other evidence in the case record." SSR 12-2P.

The accepted diagnostic test is that Plaintiff must have pain in 11 of 18 tender points. Jordan v. Northrop Grumman Corp., 370 F.3d 869, 877 (9th Cir. 2003); see also Rollins v. Massanari, 261 F.3d 853, 855 (9th Cir. 2001) (11 of 18 tender points). Objective tests such as myelograms are administered to rule out other diseases and alternative explanations for the pain but do not establish the presence or absence of fibromyalgia. Jordan, 370 F.3d at 873, 877.

fibromyalgia cannot be "objectively" proved. *Id.* at 877. The symptoms can be worse at some times than others. *Id.* at 873. The Ninth Circuit recognizes fibromyalgia as a physical rather than a mental disease. *Id.*

this case, Plaintiff's fibromyalgia was undisputed. Plaintiff's two treating rheumatologists and the other medical professionals who treated or examined Plaintiff acknowledged she had fibromyalqia. Tr. 548, 598 (Dr. Stevenson); 694 (Dr. Djergaian); 728-34 (Dr. Lammers); 870 (Dr. Mueller); 872 (Dr. Elmer). determined that Plaintiff's severe impairments included fibromyalqia. Tr. 37. In this case, the ALJ's determination that Plaintiff was not credible because the record lacked "objective findings" to support her fibromyalgia related disabling pain was legal error.

2. Control of Symptoms

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Additionally, the ALJ discounted Plaintiff's credibility because the ALJ concluded "the record revealed the treatment has been generally successful in controlling those symptoms." Tr. 40. A favorable response to conservative treatment may undermine a claimant's reports of the disabling nature of his or her pain. Tommasetti v. Astrue, 533 F.3d 1035, 1040 (9th Cir. 2008).

In this case, the record does not support the ALJ's assertion. Instead, the overwhelming evidence in the record reveals that despite regularly taking multiple medications, Plaintiff constantly struggles with good days where she can accomplish a few tasks, and bad days, where she can do very little, consistent with the experience of many fibromyalgia sufferers. See Tr. 541; 548; 554; 558; 608; 626; 690; 730; 734. The ALJ's reason for discounting

Plaintiff's credibility because medication controlled her symptoms was not supported by the record.

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3. Daily Activities

Finally, the ALJ intimated that Plaintiff's daily activities contradicted her claimed limitations. Tr. 40-41. The ALJ commented that the record reveals Plaintiff as "happy doing lots of yard work, had good energy and was very active with her pets in May 2006." Tr. 40-41.

Disability claimants should not be penalized for attempting to lead normal lives in the face of their limitations. Reddick v. Chater, 157 F.3d 715, 722 (9th Cir. 1998). The mere fact that a claimant engages in normal daily activities "does not in any way detract from her credibility as to her overall disability. One does not need to be 'utterly incapacitated' in order to be disabled." Vertigan, 260 F.3d at 1050. "The Social Security Act does not require that claimants be utterly incapacitated to be eligible for benefits .. and many home activities are not easily transferrable to what may be the more grueling environment of the workplace where it might be impossible to periodically rest or take medication." Fair v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989)). Accordingly, the Ninth Circuit has "repeatedly asserted that the mere fact that a plaintiff has carried on certain daily activities, such as grocery shopping, driving a car, or limited walking for exercise, does not in any way detract from her credibility as to her overall disability." Vertigan v. Halter, 260 F.3d 1044, 1050 (9th Cir. 2001).

Rather, "[i]t is only where the level of activity is inconsistent with a claimed limitation that the activity has any

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bearing on credibility." *Id.* (citing *Reddick*, 157 F.3d at 722). Daily activities, therefore "may be grounds for an adverse credibility finding if a claimant is able to spend a substantial part of h[er] day engaged in pursuits involving the performance of physical functions that are transferable to a work setting." *Orn*, 495 F.3d at 639 (citations and internal quotation marks omitted). To conclude that a claimant's daily activities warrant an adverse credibility determination, the ALJ must make specific findings relating to the daily activities and the transferability of those activities to the workplace. *Id*.

In this case, the ALJ failed to make specific findings relating to Plaintiff's activities as applicable to workplace activities. First, as described, Plaintiff's activities do not contradict her other testimony or assertions. See Fair, 885 F.2d at 603. Second, Plaintiff's activities do not meet the threshold for transferable work skills, the second ground for using daily activities in credibility determinations. Id.

Plaintiff testified that she has pain in her whole body, and every morning, she has to wait several hours for her pain medication to take effect before she can do much of anything. Tr. 96-97. Plaintiff testified that after she has rested and the medications take effect, she starts trying to do some housework, but she needs to "work in waves" and sit down and rest periodically between tasks. Tr. 97-98. She testified that she buys all her clothing at Costco because "it's just too much effort" to go anywhere else. Tr. 98.

The ALJ relied upon a single entry from a May 31, 2006, appointment with Deborah Smith, ARNP, when the nurse noted Plaintiff "was happy doing lots of yard work, had good energy and was very

active with her pets in May 2006." Tr. 40-41; 554. The single entry is not indicative of Plaintiff's condition, which can be best gleaned from a longitudinal review of the record. See, e.g., Tr. 548; 608; 621-22; 689-96; 723; 729-32; 769; 817. The fact that during some doctor visits Plaintiff reported that her pain had temporarily improved, does not establish Plaintiff retained the ability to work. Fibromyalgia is an elusive diagnosis, "[i]ts cause or causes are unknown, there's no cure, and, of greatest importance to disability law, its symptoms are entirely subjective." Sarchet 306 (9th Cir. 1996).v. Chater, 78 F.3d 305, Plaintiff's "subjective" complaints correspond directly with the disorder's characteristics: "chronic widespread aching and stiffness, involving particularly the neck, shoulders, back, and hips, which is aggravated by the use of the affected muscles." Stedman's Medical Dictionary 725 (28th ed. 2006).

Plainly, the ALJ's findings regarding Plaintiff's daily activities were minimal and she failed to conduct the necessary transferability analysis. Thus, the court agrees with Plaintiff that the foregoing is not sufficient justification for the adverse credibility finding.

C. Medical Opinions

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Plaintiff contends that the ALJ improperly rejected treating and examining physicians testimony in favor of non-examining physician opinions. ECF No. 14 at 23. The ALJ apparently rejected the opinion of Plaintiff's treating physicians in favor of the opinions from non-examining physician Norman Staley, M.D., Howard Platter, M.D., who reviewed Dr. Staley's conclusion, and Arthur Brovender, a retired orthopedist who testified at Plaintiff's first

administrative hearing. Tr. 41-43.

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1. Jon T. Stevenson, M.D.

Dr. Stevenson, who began treating Plaintiff in 1997, is an internist who specializes in rheumatology. Tr. 598. The ALJ provided three reasons for rejecting Dr. Stevenson's opinions: (1) his conclusions were "primarily based upon the subjective reports of the claimant;" (2) he was not objective because he was sympathetic to Plaintiff's claim; and (3) his opinions were contradicted by the nonexamining physicians opinions. Tr. 42-43; 45. Under the circumstances present in this case, all three reasons provided by the ALJ for discounting Dr. Stevenson's opinion are improper.

a. Subjective reports from Plaintiff

The ALJ discounted treating physician Dr. Stevenson because his assessments were primarily based upon the subjective reports of Plaintiff. Generally, an ALJ need not accept the opinion of any physician, including a treating physician, if that opinion is brief, conclusory, and inadequately supported by clinical findings. Thomas v. Barnhart, at 957. However, in this case, the ALJ's reliance upon this factor evidences her misunderstanding of fibromyalgia: patient's report of complaints, or history, is an essential diagnostic tool "in fibromyalgia cases, and a treating physician's reliance on such complaints "hardly undermines his opinion as to [the claimant's] functional limitations." Green-Younger v. Barnhart, 335 F.3d 99, 107 (2d Cir. 2003) (internal punctuation and citation omitted). The federal courts have recognized that fibromyalgia often lacks medical or laboratory signs, and is generally diagnosed mostly on an individual's described symptoms," and that the "hallmark" of fibromyalgia is therefore "a lack of objective

evidence." Moore v. Barnhart, 405 F.3d 1208, 1211 (11th Cir. 2005); Benecke, 379 F.3d at 594(concluding the ALJ erred by "effectively requiring objective evidence for a disease that eludes such measurement"); Rogers v. Commissioner of Soc. Sec., 486 F.3d 234, 243 (6th Cir. 2007) (stating that "fibromyalgia patients present no objectively alarming signs"); Green-Younger, 335 F.3d at 108; Sarchet, 78 F.3d at 306. The lack of objective clinical findings in this case of fibromyalgia is insufficient to support the ALJ's rejection of Dr. Stevenson's opinions regarding Plaintiff's functional capacity.

b. Lack of objectivity of treating physician

Second, the ALJ noted that Dr. Staley's findings and Dr. Stevenson's findings were quite similar, 5 and the difference between the doctors' conclusions was likely attributable to Dr. Stevenson's lack of objectivity based upon his sympathy for Plaintiff:

Yet, Dr. Staley noted the examiner knew the claimant for ten years and "totally supports her claimant [sic] for disability." The undersigned notes the conflict in the ultimate conclusion between similar findings from the claimant's examiners and Dr. Staley. If both physician[s] have the same facts but come up with very different conclusions, like Dr. Staley, the undersigned notes there is a possibility a doctor may express an opinion in an effort to assist a patient with whom he or she sympathizes for one reason or another. While it is difficult to confirm the presence of said motives, they are more likely in situations where the opinion in question departs substantially from the rest of the evidence in the record, as in the current case.

your findings?" Dr. Staley checked the "no" box.

⁵The ALJ relies upon the check-the-box form question: "are there treating/examining source conclusions about the claimant's limitations or restrictions which are significantly different from

Tr. 42-43.

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The ALJ points to no evidence of actual impropriety on the part of Dr. Stevenson. See Lester, 81 F.3d at 832 (quoting Ratto v. Sec'y, Dept. of Health and Human Servs., 839 F. Supp. 1415, 1426 (9th Cir. 1993)) ("The Secretary may not assume that doctors routinely lie in order to help their patients collect disability benefits."); see, also, Nguyen v. Chater, 100 F.3d 1462, 1465 (9th Cir. 1996) (citing Saelee v. Chater, 94 F.3d 520, 523 (9th Cir. 1996)(1997)) (the source of report is a factor that justifies rejection only if there is evidence of actual impropriety or no medical basis for opinion). In this case, the record contains no evidence that Dr. Stevenson embellished his assessments of Plaintiff's limitations to assist her with her benefits claim. See Reddick, 157 F.3d at 25-26 (ALJ erred in assuming that the treating physician's opinion was less credible because his job was to be supportive of the patient). Thus, the ALJ's rejection of Dr. Stevenson's opinion on the basis that his opinion was based upon sympathy instead of sound medical judgment was improper.

c. Contradictory opinions from non-examining physicians

Finally, the ALJ rejected the opinion of Dr. Stevenson because it was contradicted by the non-examining physicians who reviewed the case, including Drs. Staley, Platter, Brovender and Weisman. Tr. 43-44. In social security cases, more weight should be given to the opinion of a treating source than to the opinion of doctors who do not treat the claimant. Lester, 81 F.3d at 830. Where the treating doctor's opinion is not contradicted by another doctor, it may be rejected only for "clear and convincing" reasons. Id. "Clear and convincing" reasons are also required to reject the

treating doctor's ultimate conclusions. *Embrey v. Bowen*, 849 F.2d 418, 422 (9th Cir. 1988). Even if the treating doctor's opinion is contradicted by another doctor, the ALJ may not reject this opinion without providing "specific and legitimate reasons" supported by substantial evidence in the record. *Murray v. Heckler*, 722 F.2d 499, 502 (9th Cir. 1983).

The opinion of a nonexamining physician cannot by itself constitute substantial evidence that justifies the rejection of the opinion of either an examining physician or a treating physician. Lester, 81 F.3d at 831. A nonexamining doctor's opinion, with nothing more, does not constitute substantial evidence. Lester, 81 F.3d at 831. An ALJ may reject the testimony of an examining physician, in favor of a nonexamining physician when he gives specific, legitimate reasons for doing so, and those reasons are supported by substantial evidence in the record. See, Roberts v. Shalala, 66 F.3d 179, 184 (9th Cir. 1995) (upholding ALJ's decision to reject examining psychologist's functional assessment that conflicted with his own written report and test results).

In this case, the ALJ gave significant weight to the opinions of the nonexamining medical expert who testified at Plaintiff's first 2009 administrative hearing, Arthur Brovender, M.D. Tr. 43. The ALJ relied upon Dr. Brovender's testimony that agreed with Dr. Staley's opinions. Tr. 43. The ALJ indicated she gave Dr. Brovender's testimony significant weight, "due to his expertise, his familiarity with the Social Security regulations and the consistence of his testimony with the longitudinal medical history, objective medical findings and other treating and examining opinions contained in the record." Tr. 43. An ALJ is encouraged to "give more weight

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to the opinion of a specialist about medical issues related to his or her area of specialty than to the opinion of a source who is not a specialist." 20 C.F.R. § 404.1527(d)(5). The record reveals Dr. Brovender was a retired physician who specialized in orthopedics. Tr. 140. Dr. Brovender acknowledged he did not assess any of Plaintiff's impairments or conditions unrelated to orthopedics, and he admitted when he was a practicing orthopedist, if a patient presented with symptoms of fibromyalgia, he referred the patient to a rheumatologist. Tr. 140. Similarly, Dr. Weisman was a pediatrician, whose testimony illustrated his lack of understanding about the nature of fibromyalgia. Tr. 78; 85; 339-43.

In this case, it was error for the ALJ to give more weight to the testimony of a non-examining orthopedist than to Plaintiff's treating rheumatologist with regard to the severity of Plaintiff's impairments caused by fibromyalgia.

Moreover, the nonexamining physicians in this case provided conclusions about Plaintiff's level of impairment that contradicted Plaintiff's treating physicians. A treating physician's opinion regarding a claimant's residual functional capacity is generally due controlling weight in the determination of that issue. See 20 C.F.R. § 404.1527(d)(2). Plaintiff's treating physicians, including Dr. Stevenson, all disagreed with the nonexamining physicians' conclusions about Plaintiff's impairments and the RFC. Tr. 548 and 598 (Dr. Stevenson); 694 (Dr. Djergaian); 728-34 (Dr. Lammers); 870 (Dr. Mueller); 872 (Dr. Elmer). The ALJ's reasons for rejecting Stevenson's opinions were not specific and legitimate and were not supported by the record. As a result, the ALJ's rejection of Dr. Stevenson's opinion because it conflicted with the opinions of

nonexamining physicians was legal error.

2. Eric Mueller, M.D.

The ALJ gave little weight to the November 24, 2010, opinion of Eric C. Mueller, M.D., one of Plaintiff's treating rheumatologists, who opined Plaintiff could not maintain sedentary work. Tr. 46; The ALJ rejected this opinion on the basis that certain treatment notes "show relatively normal physical examinations with no evidence of loss of grip strength, loss of range of motion, no swelling, and loss of sensation." Tr. 46. As stated above, the "hallmark" of fibromyalgia is "a lack of objective evidence." Moore, 405 F.3d at 1211; Rogers, 405 F.3d at 243. A longitudinal review of Plaintiff's treating records reveal her symptoms, such as inflammation in certain areas, wax and wane. See, e.g., Tr. 809-36. In this case, the ALJ's reliance upon isolated treatment notes does not constitute a "specific and legitimate" reason to justify rejecting Dr. Mueller's opinion.

Additionally, similar to the analysis of Dr. Stevenson's opinion, the ALJ speculated Dr. Mueller's opinion was the result of pressure from Plaintiff, or was simply based on sympathy instead of objective medical evidence:

The possibility always exists that a doctor may express an opinion in an effort to assist a patient with whom he or she sympathizes for one reason or another. Another reality which should be mentioned is patients can be quite insistent and demanding in seeking supportive notes or reports from their physicians, who might provide such a note in order to satisfy their patient's requests and avoid unnecessary doctor/patient tension.

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Tr. 46. As noted above, the ALJ's unfounded speculation that a treating physician would lie or exaggerate to assist a patient, without evidence of actual impropriety, is an impermissible

assumption in determining Social Security disability cases. See Lester, 81 F.3d at 832; Ratto, 839 F. Supp. at 1426; Nguyen, 100 F.3d at 1465; Saelee, 94 F.3d at 523; Reddick 157 F.3d at 725-26.

3. James Elmer, M.D.

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James B. Elmer, M.D., a specialist in pulmonary, critical care, and sleep disorders, began treating Plaintiff in July, 2005. Tr. 872. In a statement dated December 15, 2010, Dr. Elmer opined that Plaintiff is unable to sustain gainful employment because, despite her compliance with treatment, she suffers from overwhelming sleepiness. Tr. 872. The ALJ gave little weight to this opinion on the basis that it was inconsistent with both Dr. Elmer's October 28, 2010, treatment note that indicated Plaintiff's idiopathic hypersomnia was under reasonable control with medication, and with a treatment note from Deborah Smith ARNP, indicating Plaintiff presented like her "old self." Tr. 46.

Elmer's October 28, 2010, treatment Dr. notation was abbreviated and stated in full: "idiopathic hypersomnia reasonable control as long as she takes stimulant medications this is not a Tr. 863. By contrast, Dr. Elmer's December 15, 2010, cure." statement provided detailed opinions specifically addressing Plaintiff's mental abilities, and he opined Plaintiff would be unable to concentrate for more than a few minutes, even when under the effects of stimulant medication. Tr. 874. Additionally, Dr. Elmer stated that Plaintiff cannot "stay awake or focus her attention long enough to meet the standards that this level of [full-time] work would require." Tr. 875. The December statement provides a specific description of Plaintiff's limitations while experiencing "reasonable control" of her sleep disorder.

statements are not inconsistent. Instead, the December 15, 2010, statement provides an explanation of the abbreviated chart note from October 28, 2010.

The treatment note by Deborah Smith, ARNP dated July 30, 2010, was a result of the mental status exam and stated, "So much more like old self. Laughs easily, energetic, good sense of humor, dwells on [positive] rather than negative." Tr. 848. First, this treatment note is immaterial to whether Plaintiff's sleeping disorder causes her specific limitations that preclude sustained employment. Additionally, Nurse Smith later explained that she treated Plaintiff four times in 2010, and that a single observation is not indicative of the bigger picture of Plaintiff's overall wellness:

My observations reflect small windows in her life, rather than sequential or sustained intensive care. As a general impression, over time, her pain seems to have increased, her mood reflects dysthymia, and her activity level seems increasingly affected by her pain. There have been rare times when I have glimpsed parts the [sic] Cindy I knew in 1988, but they have never been sustained over any longer period than one visit.

Tr. 541. In this case, a single treatment note from Plaintiff's internist's nurse that indicates Plaintiff's mood seems improved is not a "specific and legitimate" reason to reject the opinion of Plaintiff's treating sleep-disorder physician. The ALJ erred by rejecting the opinion of Dr. Elmer.

4. Craig Lammers, Ph.D.

The ALJ gave "partial credit" to the opinions provided by Craig A. Lammers, Ph.D., in October 2008 and October 2009. Tr. 45; 689-

96; 775-77.⁶ The ALJ explained that in a 2009 Psychiatric Review Technique form, Dr. Lammers opined that Plaintiff had marked limitations in activities of daily living and concentration, persistence and pace, but those limitations were not supported by the 2008 assessment or by 2009 treatment records. Tr. 45-46. The ALJ neither cited treatment records from 2009 that contradicted Dr. Lammers' opinion, nor did the ALJ cite to specific contradictory opinions from the 2008 assessment. An ALJ may reject a treating physician's opinion if it is conclusory and/or conflicts with an examining physician's opinion, so long as the ALJ supports the rejection with specific, legitimate reasons based on substantial evidence. Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001); Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989).

In this case, the ALJ fails to specify contradictory medical evidence. Moreover, Dr. Lammers' 2008 assessment took place one year prior to the 2009 examination, and Plaintiff's mental impairment could have changed over time. Additionally, Dr. Lammers' 2008 evaluation indicated Plaintiff was "experiencing some significant physical pain and discomfort accompanied by a rather

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⁶The ALJ asserted that it was "important to point out the claimant had a previous treating relationship with Dr. Lammers in 1995." Tr 45. To the extent this comment infers Dr. Lammers is biased because of sympathy for a former patient and, thus, cannot be trusted to provide an objective medical opinion, this reason, as analyzed elsewhere herein, is an impermissible reason upon which to discount an examining physician's opinion. See, Lester, 81 F.3d at 832; Nguyen, 100 F.3d at 1465; Reddick 157 F.3d at 725-26.

chronic level of moderate depression." Tr. 695. Dr. Lammers also added, "these emotional factors appear to have somewhat correlated with the increasing level of pain and discomfort. There was no indication of malingering during my contact with her." Additionally, Dr. Lammers noted that Plaintiff's test results indicating a high level of mental functioning are likely not indicative of how Plaintiff would perform in an eight-hour workday:

It is very possible that on a day-to-day basis, due to her depression and ongoing physical concerns, that she would not be able to sustain this type of effort for a prolonged period of time (such as an eight hour work day). . . .

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. . . She does display some ability to concentrate and persist at a task at hand, but she most likely would be unable to do this over a prolonged period of time due to her pain, fatigue and depression. While she is able to read for approximately 1 hour at a time, this is surely less demanding of her than would be required in a typical work like setting that might involve both physical demands as well as ongoing cognitive/mental demands.

695-96. Tr. In short, Dr. Lammers' 2008 assessment does not contradict his 2010 assessment indicating Plaintiff had marked impairments. The ALJ's reason for giving little weight to Dr. Lammers is not specific, legitimate and supported by the record.

5. Robert S. Djergaian, M.D.

On November 10, 2008, Robert S. Djergaian, M.D., conducted an independent medical exam of Plaintiff at the request of her life Tr. 728-38. Dr. Djergaian asserted that the insurance company. medical evidence was consistent with and supportive of Plaintiff's Tr. 733. He found no evidence of symptom claimed symptoms. exaggeration or malingering, and he opined that the diagnoses accurately reflect Plaintiff's condition. Tr. 733. Dr. Djergaian also opined that Plaintiff's condition leaves her unable to work,

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secondary to "persistent, consistent pain, difficulty maintaining positions for any length of time, and inability to remain active without ongoing pain, along with chronic fatigue." Tr. 734. Dr. Djergaian also opined that he believed Plaintiff could not return to her former job, and likely could not sustain any employment, "With her limitations and symptoms, I think it would be difficult for her to be competitively employed in another occupation without quite significant accommodations and being in a job that allowed her to work part time based on waxing and waning of symptoms." Tr. 734. Dr. Djergaian commented that even if Plaintiff participated in a rehabilitation plan, she likely would not be able to return to function well enough to return to work. Tr. 735.

Without explicitly explaining the weight the ALJ gave Dr. Djergaian's opinion, it appears the ALJ rejected the opinion:

Dr. [Djergaian] is a one-time examiner whose opinion clearly shows he does not understand the meaning of disability under the Social Security Rules Although an individual may not be able to Regulations. perform his past relevant work, that does not mean such an individual is unable to perform other work. [Djergian]'s statements cannot be considered a medical source statement based on what Dr. Djergaian believes the claimant's limitations to be.

Tr. 44. Additionally, the ALJ noted that Dr. Djergaian's opinion that Plaintiff was precluded from competitive work was "based on the claimant's self-report. . . . [T]here were very few objective findings." Tr. 44.

In considering the weight to give to a medical opinion, the ALJ may consider "the amount of understanding of our disability programs and their evidentiary requirements that an acceptable medical source has...." 20 C.F.R. § 416.927(c)(6). In this case, Dr. Djergaian conducted an independent medical exam on behalf of an insurance

company, and it is not clear to what extent Dr. Djergaian was familiar with the social security disability regulations and evidentiary requirements. However, the ALJ illustrates her misunderstanding of the nature of fibromyalgia by rejecting Dr. Djergaian's opinion because it is based primarily upon Plaintiff's self-reports. As stated earlier, fibromyalgia "often lacks medical or laboratory signs, and is generally diagnosed mostly on an individual's described symptoms," and that the "hallmark" fibromyalgia is, therefore, "a lack of objective evidence." Moore, 405 F.3d at 1211; Benecke, 379 F.3d at 594. To the extent the ALJ rejected Dr. Djergaian's opinion because it was based on Plaintiff's self-reports, the ALJ failed to provide specific, legitimate reasons supported by the record and, thus, the ALJ erred.

D. Remedy

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When the ALJ's decision is not supported by substantial evidence in the record or is the result of legal error, whether to remand the matter for additional proceedings or whether to order an immediate payment of benefits is within the court's discretion. Benecke, 379 F.3d at 590. Evidence should be credited as true and an action remanded for an award of benefits when: (1) the ALJ has failed to provide legally sufficient reasons for rejecting evidence; (2) no outstanding issues remain that must be resolved before a determination of disability can be made; and (3) it is clear from the record that the ALJ would be required to find the claimant disabled were the rejected evidence credited as true. Id. at 593; Harman, 211 F.3d at 1178. It is an abuse of discretion to remand for further proceedings where, as in this matter, no further proceedings are necessary to make a disability determination and it

is clear from the record that the claimant is entitled to benefits. See *Benecke*, 379 F.3d at 596.

After applying the credit-as-true rule to Plaintiff's improperly discredited hearing testimony and Plaintiff's treating and examining physicians' opinions regarding Plaintiff's functional limitations, no outstanding issues remain to be resolved before determining that Plaintiff is entitled to benefits. The ALJ added facts mirroring the discredited testimony and opinions to the hypothetical posed to the vocational expert ("VE") when evaluating RFC. The VE responded that such a person would not be able to work. Tr. 172-73. Because it is clear the ALJ would be required to find Plaintiff disabled, the court concludes that Plaintiff is entitled to an immediate award of benefits.

CONCLUSION

In the present case, the record is extensive and well developed. It consists of 878 pages and includes the medical records of the several doctors who have examined or treated Plaintiff. Substantial evidence in that record indicates that Plaintiff is disabled and entitled to receive benefits without further extended delay. Having reviewed the record and the ALJ's findings, the court concludes the ALJ's decision is not supported by substantial evidence and is based on legal error. The decision is therefore REVERSED and the case is REMANDED for an immediate award of benefits. Accordingly,

IT IS ORDERED:

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1. Plaintiff's Motion for Summary Judgment, ECF No. 13, is GRANTED and the matter is REMANDED to the Commissioner for an award of immediate benefits.

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2.	Defendant's	Motion	for	Summary	Judgment,	ECF	No.	19,	is
DENIED.									

3. An application for attorney fees may be filed by separate motion.

The District Court Executive is directed to file this Order and provide a copy to counsel for Plaintiff and Defendant. Judgment shall be entered for Plaintiff, and the file shall be CLOSED.

DATED April 30, 2013.

S/ CYNTHIA IMBROGNO
UNITED STATES MAGISTRATE JUDGE